



PATENT

Attorney Docket No. UM-07269

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gary D. Glick *et al.*
Serial No.: 09/767,283
Filed: 01/22/2001
Entitled: **Therapeutic Applications Of Pro-Apoptotic
Benzodiazepines**

Group No.:
Examiner:

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT TRANSMITTAL**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated April 4, 2005

By:

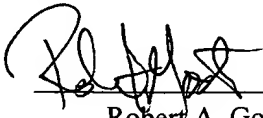

Mary Ellen Waite

Sir or Madam:

Enclosed please find a Supplemental Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

This Information Disclosure Statement is being filed before the issuance of a first Office Action, therefore Applicant believes no fee is required. If the Commissioner deems otherwise the Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: April 4, 2005


Robert A. Goetz
Registration No. 55,210

MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, California 94105
608/218-6900



PATENT

Attorney Docket No. **UM-07269**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: **Gary D. Glick *et al.***

Serial No.: **09/767,283**

Group No.:

Filed: **01/22/2001**

Examiner:

Entitled: **Therapeutic Applications Of Pro-Apoptotic
Benzodiazepines**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **April 4, 2005**.

By: _____

Mary Ellen Waite

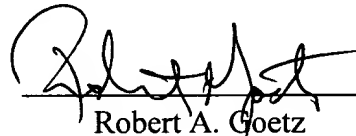
Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,766,946
- U.S. Patent No. 5,288,514
- WO 99/29347
- WO 99/58117
- DE 1810423
- EP 0 227 539 B1
- Walser *et al.*, J. Org. Chem. 38:3502-3507 (1973)
- Kim *et al.*, J. Braz. Chem. Soc. 9:375-379 (1998)
- Schlumpf, M., et al., "Delayed Developmental Immunotoxicity of Prenatal Benzodiazepines," Toxic. In Vitro - 8(5):1061-1065(1994).

This Information Disclosure Statement under 37 C.F.R. §§1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: April 4, 2005



Robert A. Goetz
Registration No. 55,210
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 305
San Francisco, California 94105
608/218-6900

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: UM-07269		Serial No.: 09/767,283	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Gary D. Glick <i>et al.</i> Filing Date: 01/22/2001 Group Art Unit:			
(37 CFR 2.106(b))							
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	1	5,776,946	07/07/1998	McGeer <i>et al.</i>	514	307	08/28/1995
	2	5,288,514	2/22/940	Ellman	427	29.6	9/14/92
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation Yes No
	3	WO 99/29347	17 June 1999	WO			
	4	WO 99/58117	18 Nov 1999	WO			
	5	DE 1810423		Germany			
	6	EP 0 227 539 B1	11 Dec 1986	EPO			
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	7	Walser <i>et al.</i> , J. Org. Chem. 38:3502-3507 (1973)					
	8	Kim <i>et al.</i> , J. Braz. Chem. Soc. 9:375-379 (1998)					
	9	Schlumpf, M., et al., "Delayed Developmental Immunotoxicity of Prenatal Benzodiazepines," Toxic. In Vitro - 8(5):1061-1065(1994).					
Examiner:				Date Considered:			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							